| Electronic Acknowledgement Receipt | | | | |
|--------------------------------------|------------------------------------------------------------------------------------|--|--|--|
| EFS ID: | 2993078 | | | |
| Application Number: | 09844198 | | | |
| International Application Number: | | | | |
| Confirmation Number: | 2147 | | | |
| Title of Invention: | Computer-implemented method and apparatus for adjusting the cosbasis of a security | | | |
| First Named Inventor/Applicant Name: | Nicco Willis | | | |
| Customer Number: | 39602 | | | |
| Filer: | Daniel J. Noblitt/Sally Hartway | | | |
| Filer Authorized By: | Daniel J. Noblitt | | | |
| Attorney Docket Number: | 10060.0100 | | | |
| Receipt Date: | 13-MAR-2008 | | | |
| Filing Date: | 27-APR-2001 | | | |
| Time Stamp: | 13:22:29 | | | |
| Application Type: | Utility under 35 USC 111(a) | | | |
| Payment information: | • | | | |

Payment information:

| Submitted with Payment | yes |
|------------------------------------------|-------------------|
| Payment Type | Credit Card |
| Payment was successfully received in RAM | \$230 |
| RAM confirmation Number | 10154 |
| Deposit Account | 502993 |
| Authorized User | NOBLITT, DANEIL J |

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| File Listing | g: | | | | | | |
|-----------------------------------------------------|--------------------------------------------------|----------------------------------------------|-------------------------------------|---------------------|---------------------|--|--|
| Document Number | Document Description | File Name | File Size(Bytes) /Message Digest | Multi Part /.zip | Pages (if appl.) | | |
| 1 | 1 | 10060-0100_2008-03-11_Re | 419329 | yes | 9 | | |
| · | sponseToOA.pdf | 7laa9a2dd679718ee6bb5d996512b770 36103a7e | ,,,, | | | | |
| Multipart Description/PDF files in .zip description | | | | | | | |
| | Document Description | | Start | End | | | |
| | Extension of Time | | 1 | 1 | | | |
| | Amendment - After Non-Final Rejection | | 2 | 2 | | | |
| | Applicant Arguments/Remarks Made in an Amendment | | 3 | 9 | | | |
| Warnings: | | | | | | | |
| Information: | | | | | | | |
| 2 Fee Worksheet (PTO-06) | fee-info.pdf | 8181 | no | 2 | | | |
| | | b6cf43151518992189ad94f9d083f2cdb ada1cdb | | | | | |
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New Applications Under 35 U.S.C. 111

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National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.